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**REGISTERED PATENT AGENTS

March 3, 2000



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WRITER'S DIRECT NUMBER: (202) 218-7834 INTERNET ADDRESS: BHANNES@skgf.com

Assistant Commissioner for Patents Washington, D.C. 20231

Box Patent Application

Re:

U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)

Appl. No. (Not Yet Assigned); Filed: Herewith (Cont. of 08/852,824; Filed: May 7, 1997)

For: Human G-Protein Coupled Receptors

Inventors:

LI et al.

Our Ref:

1488.1220002/EKS/EJH

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
- 2. U.S. Utility Patent Application entitled:

Human G-Protein Coupled Receptors

and naming as inventors:

Yi LI and

Steven M. RUBEN

the application comprising:

Assistant Commissioner for Patents March 3, 2000 Page 2

- a. A specification containing:
 - (i) 46 pages of description prior to the claims;
 - (ii) 3 pages of claims (1-21 claims);
 - (iii) a one (1) page abstract;
- b. 7 sheets of drawings: (Figures 1A-1C, 2, 3A, 3B and 4);
- c. 17 pages of a paper copy of the sequence listing;
- d. A computer readable disk copy of the sequence listing;
- e. A copy of the executed Declaration, as filed in U.S. Appl. No. 08/852,824;
- f. Our check No. 26836 for \$1,974.00 to cover: \$ 690.00 - filing fee for patent application; \$1,284.00 - fee for excess claims;
- 3. Preliminary Amendment;
- 4. Copy of a Declaration of Steven M. Ruben Under 37 C.F.R. § 1.132 with attachments A-C filed in prosecution of U.S. Appl. No. 08/852,824;
- 5. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
- 6. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (in duplicate); and
- 7. Two (2) return postcards.

In accordance with 37 C.F.R. § 1.821(f), the paper copy of the sequence listing and the computer readable copy of the sequence listing submitted herewith are the same.

Assistant Commissioner for Patents March 3, 2000 Page 3

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes Agent for Applicants Registration No. 42,613

EKS/EJH/cmg Enclosures

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	Patent and Trademark Trade											
	ic6							y Docket No.	1488.122000	02/EKS/EJH		
	44	UTIL	UTILITY PATENT APPLICATION TRANSMITTAL				First Inventor or Application LI et al. Identifier					
≣	C		or new non	provisional applications under 37	CFR § 1.53(b))	Ti	tle	Human G-Prot	tein Coupled Re	ceptors		
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	10	See MPEP		PLICATION ELEMENTS 00 concerning utility patent appli	cation contents.	ADDI	RESS			atents		
	1. X * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)					6. Microfiche Computer Program (Appendix)						
	2. Specification [Total Pages 50 (preferred arrangement set forth below) - Descriptive title of the Invention				.]	 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) 						
		- Cross	s References	of the Invention to Related Applications ing Fed sponsored R & D		a. Computer Readable Copyb. Paper Copy (identical to computer copy)						
		- Backs	ground of the									
		- Brief - Detai - Claim	Description led Descript			c	. 🛛	Statement verify	ying identity o	of above copies		
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ļ	3.	⊠ Draw	ving(s) (35 U.S.C. 113) [Total Sh	eets <u>7</u>]			ACCOMPANYIN	NG APPLICA	ATION PARTS		
, a	4.	Oath	or Decla	aration [Total Pages		8.		Assignment Papers (co	over sheet & doc	ument(s))		
and their their		a. 🔲 N	Newly ex	secuted (original or copy)		9. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)						
		b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]				10.		English Translation D	ocument (if appl	icable)		
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Ļ		i.	i. 🔲	DELETION OF INVENT Signed statement attached denamed in the prior application 1.63(d)(2) and 1.33(b).	leleting inventor(s)	12. Preliminary Amendment						
li l						13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
	5.	Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath					□ .	Small Entity Stateme (PTO/SB/09-12)	ap	atement filed in prior plication, Status still proper d desired		
did mid dead then the first	declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.				s being part of the	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)						
Ì					16. Other: 37 C.F.R. § 1.136(a)(3) Authorization							
						☐ Other: Copy of Declaration of Steven M. Ruben Under 37 C.F.R. § 1.132 w/attachments A-C *NOTE FOR ITEMS & 1s. IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).						
	17	If a CON	TINIIN	NG APPLICATION, check	annranriate have and sur							
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		Prior app	olication	information: Examiner:	N. Basi	Group	o/Art	Unit: 1646				
	18. CORRESPONDENCE ADDRESS											
	☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) address below											
	NAME STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. Attorneys at Law											
	ADDRESS Suite 600, 1100 New York Avenue, N.W.											
	_	ITY	Y Washington		STATE	DC			ZIP CODE	20005-3934		
	C	OUNTRY		USA	TELEPHONE	(202	2) 37	1-2600	FAX	(202) 371-2540		

NAME (Print/Type) Elizabeth J. Haanes Registration No. (Attorney/Agent) 42,613 SIGNATURE Date March 3, 2000

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Complet if Known **Application Number** Not Yet Assigned (Cont. of 08/852,824) Filing Date March 3, 2000 First Named Inventor LI et al. To Be Assigned **Examiner Name** To Be Assigned Group / Art Unit Attorney Docket Number 1488.1220002/EKS/EJH

TOTAL AMOUNT OF PAYMENT

\$1,974.00

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				CALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: 3. ADDITIONAL FEES						
Deposit Account						,
Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
□ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	105	130	205	65	Surcharge - late filing fee or oath	
Under 37 CFR §§ 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
	139	130	139	130	Non-English specification	
2. ☑ Payment Enclosed: ☑ Check ☐ Money Order ☑ Other* *Charge any deficiencies or credit any overpayments in	147	2,520	147	2,520	For filing a request for reexamination	
the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1	115	110	215	55	Extension for reply within first month	
1. BASIC FILING FEE	116	380	216	190	Extension for reply within second month	
Large Entity Small Entity	117	870	217	435	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118	1,360	218	680	Extension for reply within fourth month	
101 690 201 345 Utility filing fee 690.00	128	1,850	228	925	Extension for reply within fifth month	
	119	300	219	150	Notice of Appeal	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	
108 690 208 334 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$)	141	1,210	241	605	Petition to revive - unintentional	
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	143	430	243	215	•	
2. EXTRA CLAIM FEES Fee from	144	580	244	290	Plant issue fee	
Extra below Fee Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims 74 - 20** = 54 X 18 = 972.00						
Indep. Claims $\frac{7}{1} - 3^{**} = \frac{4}{1} \times \frac{78}{1} = \frac{312.00}{1}$	123	50	123	50	Petitions related to provisional applications	
Multiple Dependent =	126	240	126	240	Submission of Information Disclosure Stmt	
** or number previously paid, if greater; For Reissues, see below						
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20	146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
102 78 202 39 Independent claims in excess of 3	149	760	249	200	For each additional invention to be examined	
104 260 204 130 Multiple dependent claim	148	760	249	300	(37 CFR 1.129(b))	
108 78 209 39 **Reissue independent claims over original patent	Other fee (s	specify) :				
110 18 210 9 **Reissue claims in excess of 20 and	Other fee (specify):				
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SUBTOTAL (2) (\$) 1,284.00					SUBTOTAL (3) (\$)	
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SUBMITTED BY		Complete (if applicable)		
Typed or Printed Name	Elizabeth J. Haanes	Reg. Number	42,613	
Signature	Slade Hagne Date March 3, 2000	Deposit Acct. User ID	19-0036	

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